



**Recycling Program
Certification &
Registration Branch
Quarterly Report
October 21, 2014**



AGENDA

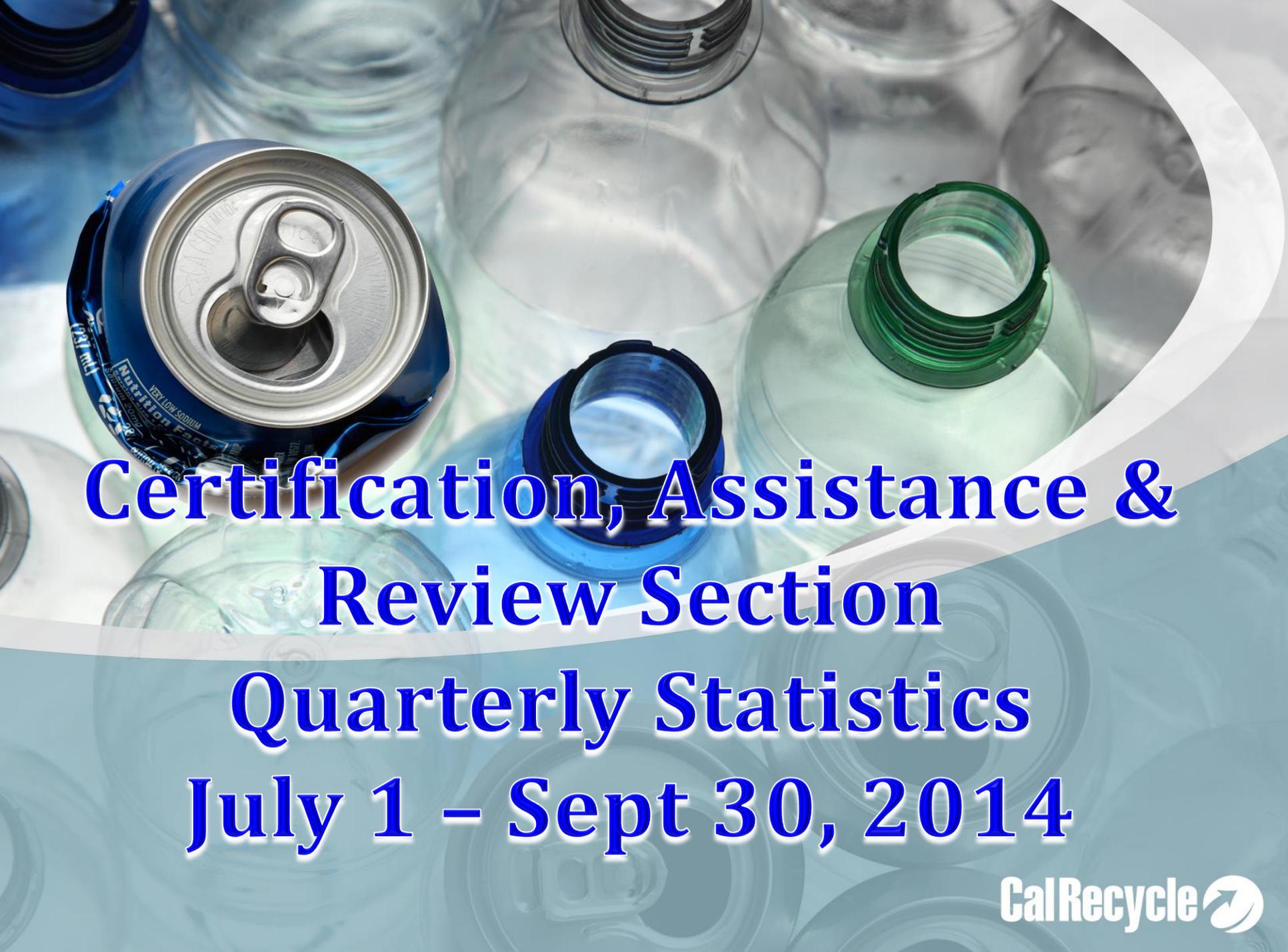
1. Certification, Assistance & Review Section Quarterly Statistics

- Precertification Training & Exams
- Currently Operational Participants
- New and Renewal Applications
- Other Activities

2. Industry Services Section Quarterly Statistics

- Convenience Zones
- Beverage Manufactures & Distributors Accounts Managed
- Beverage Manufacturer/Distributor Applications
- Product Determination, Label Review
- Revenue Opportunities

3. Questions



**Certification, Assistance &
Review Section**

**Quarterly Statistics
July 1 – Sept 30, 2014**



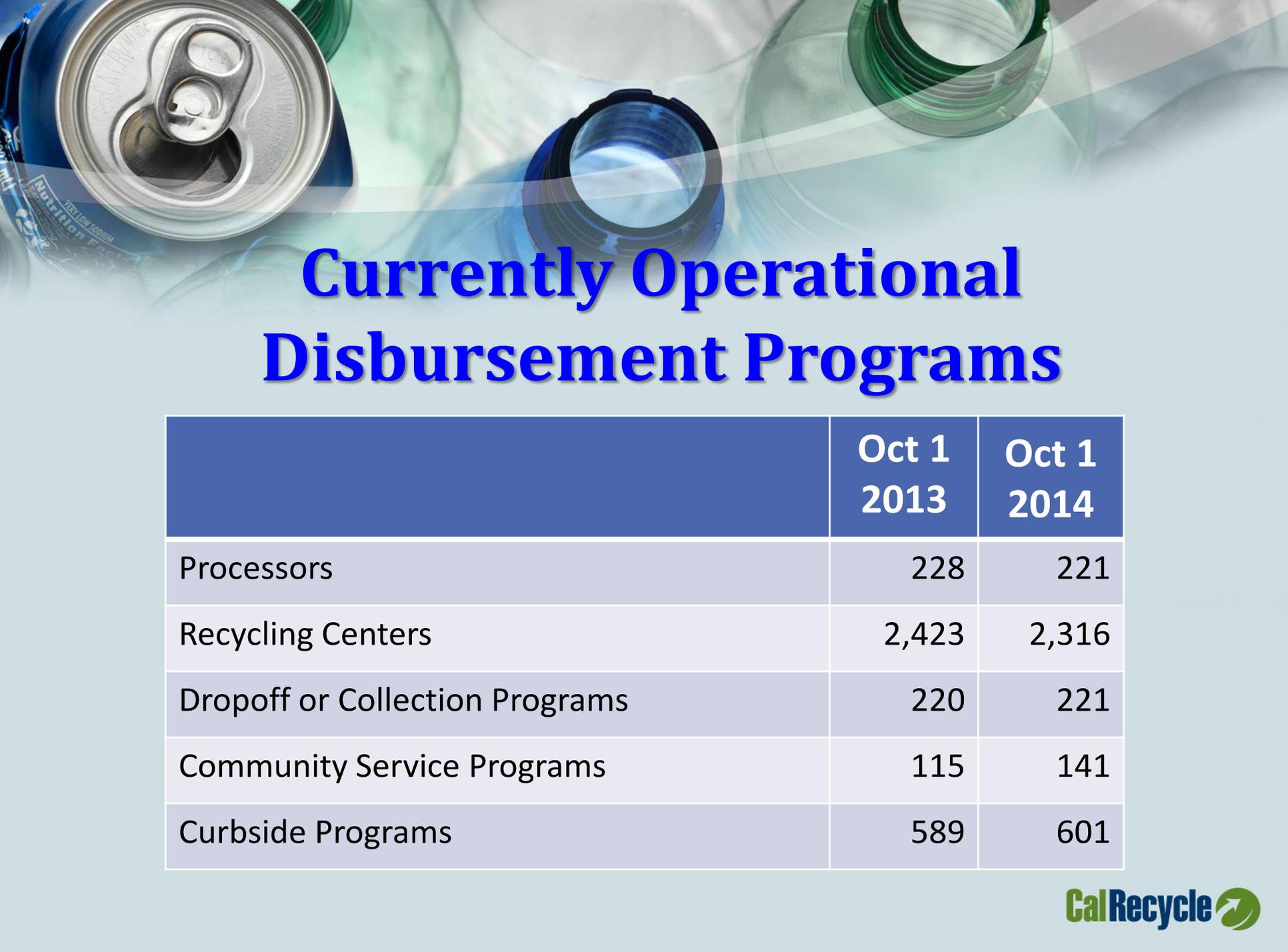
Precertification Training & Exams

TRAININGS				
	1st Qtr	2nd Qtr	3rd Qtr	TOTAL
Classes	8	15	15	38
Attendees	150	230	191	571

EXAMS				
	1st Qtr	2nd Qtr	3rd Qtr	TOTAL
Classes	8	15	15	38
Attendees	149	235	198	582

Note: Precertification Training & Exams was implemented 1/1/2014. There is no prior year data.

www.CalRecycle.ca.gov/BevContainer/CertOperator/PreCertTrain.htm



Currently Operational Disbursement Programs

	Oct 1 2013	Oct 1 2014
Processors	228	221
Recycling Centers	2,423	2,316
Dropoff or Collection Programs	220	221
Community Service Programs	115	141
Curbside Programs	589	601



New Applications Received

	July - Sept 2013	July - Sept 2014
Processors	6	5
Recycling Centers	148	167
Dropoff or Collection Programs	2	11
Community Service Programs	5	21
Curbside Programs	5	3



Renewal Applications Received

	July – Sept 2013	July – Sept 2014
Processors	11	5
Recycling Centers	71	37
Dropoff or Collection Programs	22	15
Community Service Programs	8	7
Curbside Programs	40	65



Actions

	July- Sept 2013	July- Sept 2014
New Applications Approved (Certified)	157	126
Applications Denied	11	6
Certifications Invalidated	61	26
Certifications Revoked & Terminated	25	5
Certifications Decertified	71	83



Actions Cont'd

	July- Sept 2013	July- Sept 2014
Renewal Probations Passed	19	18
Probations Extended	18	25
Certifications Interviews Performed	50	57
Limited Reviews (Recycling Centers)	72	96

A collage of recycled plastic bottles and a metal can lid. The background features several clear plastic bottles and a blue plastic bottle cap, along with a silver metal can lid with a pull tab. The items are arranged in a way that suggests recycling and waste management.

Operating & Recordkeeping Workshops

Certified Recycling Center Owners/Employees

- ✓ **Inspecting Material**
- ✓ **Receipts and Logs**
- ✓ **Daily Summaries**
- ✓ **Shipping Reports
(using DORIIS)**
- ✓ **Signage**
- ✓ **Notice Requirements**
- ✓ **Out-of-State Material**
- ✓ **Fraud Detection**

RCPR_TAR@CalRecycle.ca.gov

A collection of discarded plastic bottles and a metal can, illustrating recycling. The background is a collage of various plastic bottles and a metal can, with a white curved shape in the upper right corner. The text is overlaid on the bottom half of the image.

**Industry Services Section
Quarterly Statistics
July 1 – Sept. 30, 2014**



Convenience Zone Unit

Zones Breakdown

	9/30/2013		9/30/2014	
Served	2,044	55%	2,002	53%
Exempt	1,187	32%	1,201	32%
Hold	65	2%	89	2%
Unserved	400	11%	481	13%
Total	3,696	100%	3,773	100%



Convenience Zone Unit

Comparing zone status statistics (by percentage)
for large California cities and statewide

September 30, 2013

September 30, 2014

	LA	San Diego	San Jose	SF	State-wide
served	49%	56%	50%	28%	55%
Exempt	46%	32%	30%	35%	32%
Hold	1%	4%	8%	0%	2%
Unserved	4%	8%	12%	37%	11%
Total	100%	100%	100%	100%	100%

	LA	San Diego	San Jose	SF	State-wide
served	48%	51%	50%	18%	53%
Exempt	38%	28%	28%	34%	32%
Hold	4%	4%	2%	5%	2%
Unserved	10%	17%	20%	43%	13%
Total	100%	100%	100%	100%	100%



Convenience Zone Unit - Handling Fee Claims Paid

August 2013	
Total # of Claims Paid	1,118
Total Amount Paid	\$3,948,826

August 2014	
Total # of Claims Paid	1,045
Total Amount Paid	\$4,437,996



Convenience Zone Unit - Handling Fee Claim Status “Look-up” Feature in DORIIS

“Look-up” Feature in DORIIS provides the following Handling Fee claim status information:

- Weights submitted for each Recycling Center number by period
- Submission date
- Status of application
- Claim number and amount
- Claim schedule number and date
- Warrant number and date

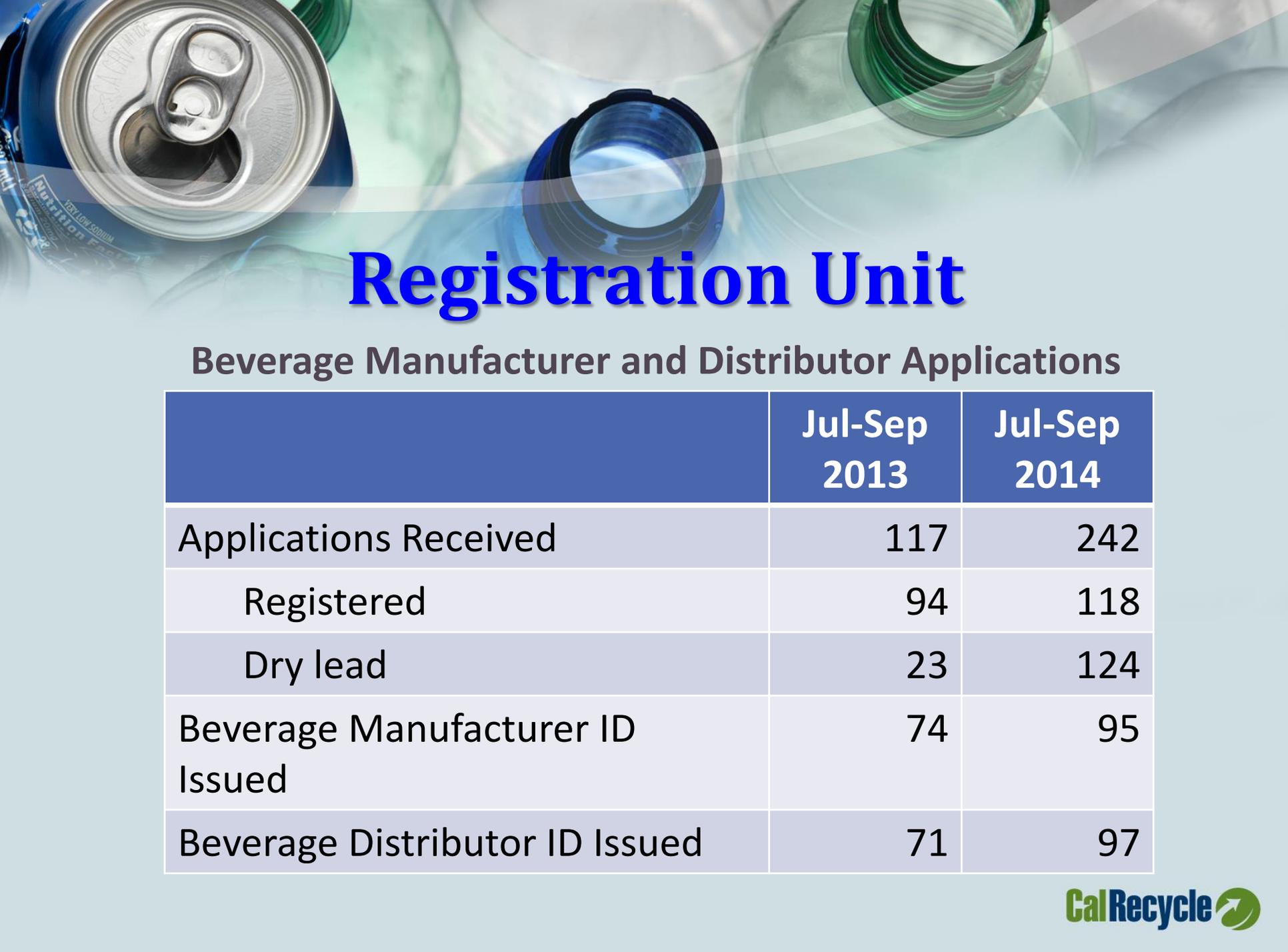
Effective with the January 2015 Handling Fee period, paper notification of awards will be discontinued. More information on how to use this DORIIS feature will be communicated in the next few months.



Participant Management Unit

Accounts Managed

	July-Sep 2013	Jul-Sep 2014
Beverage Distributors	1,730	1,796
Beverage Manufacturers	1,518	1,637
Total	3,248	3,433



Registration Unit

Beverage Manufacturer and Distributor Applications

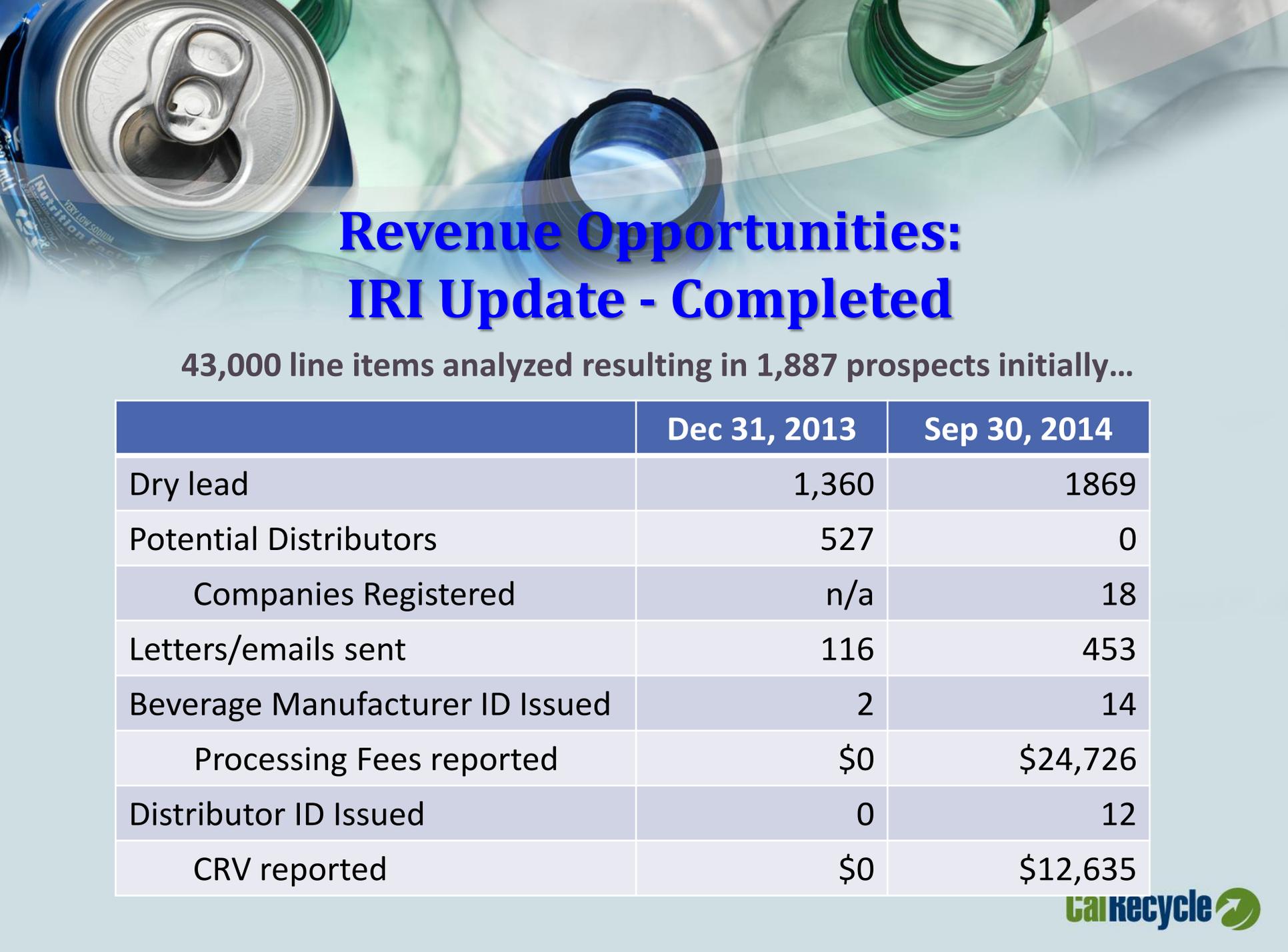
	Jul-Sep 2013	Jul-Sep 2014
Applications Received	117	242
Registered	94	118
Dry lead	23	124
Beverage Manufacturer ID Issued	74	95
Beverage Distributor ID Issued	71	97



Registration Unit

Production Determination and Label Review

	Jul-Sep 2013	Jul-Sep 2014
Product Determination	160	478
In	143	421
Out	17	57
Label Review	160	478
In compliance	134	430
Not in compliance	26	48



Revenue Opportunities: IRI Update - Completed

43,000 line items analyzed resulting in 1,887 prospects initially...

	Dec 31, 2013	Sep 30, 2014
Dry lead	1,360	1869
Potential Distributors	527	0
Companies Registered	n/a	18
Letters/emails sent	116	453
Beverage Manufacturer ID Issued	2	14
Processing Fees reported	\$0	\$24,726
Distributor ID Issued	0	12
CRV reported	\$0	\$12,635



Revenue Opportunities -Pilot Shelf Survey

Project Timeframe: April to October 2014

	June 30, 2014	Sept 30, 2014
Number of stores visited	19	37
Prospects found	295	412
Research in Progress	35	0
Research Completed:	260	412
Unregistered – emails sent	114	81
Registered/already in DORIIS	79	149
Dry Lead	45	160
Closed/Deactivated Accounts	22	22
Beverage Manufacturer ID issued	2	2
Processing Fees reported	\$5	\$5
Distributor ID Issued	2	2
CRV reported	\$175	\$2,038



Registration Unit

Additional Revenue Opportunities

Internal:

- Market Rate Determination Unit's field survey
- Technical Assistance & Review Unit's statewide shelf survey
- Hotline: 1-855-380-9172

External:

- Beverage Marketing Directory 2013
- San Diego Brewers Guild
- Dept. of Health – Bottled Water Plants
- Dept. of Alcoholic Beverage Control – In-state Beer Licensees
- Board of Equalization – In-state Beer Wholesalers