



**Recycling Program
Enforcement Branch –
Quarterly Update**

October 21, 2014



AGENDA

- Objectives
- Probationary Reviews
- Inspections
- Investigations
- Accusations
- DOJ Investigations



OBJECTIVES

- To provide a level of transparency for compliance assurance & enforcement activities
- To provide performance metrics associated with major functional areas for CY 2014
- To highlight successful compliance assurance and/or enforcement activities by CalRecycle and our interagency partners



PROBATIONARY REVIEWS

➤ April – June 2014 Statistics:

- Total Cases Generated – 192
- Recycling Centers Visited - 58
 - Passed - 20
 - Extended - 35
 - Recommended for Revocation - 1
 - Decertified during/as a result of visit - 2
 - Assessments - \$20,577.80



PROBATIONARY REVIEWS

- **April – June 2014 Statistics:**
 - Recycling Centers Not Visited - 134
 - Passed - 39
 - Extended - 71
 - Recommended for Revocation - 1
 - Not RCs - 15
 - Decertified / Abandoned - 3
 - Ongoing Investigation - 5



INSPECTIONS

April – June 2014 Statistics:

Recycling Center Inspections		Totals:
Inspections Conducted		1,302
Notices of Non-Compliance Issued		508
Notices of Violation Issued	Civil Penalties: \$26,450	166

Dealer Inspections		Totals:
Inspections Conducted		47
Notices of Non-Compliance Issued		26
Notices of Violation Issued	Total Civil Penalties: \$300	2



INSPECTIONS

April – June 2014 Statistics:

Other Functional Activities:	Totals:
Complaints Resolved	73
Dealer Affidavits Served	66
CZ Recycler Site Verification	9



INVESTIGATIONS

April – June 2014 Statistics:

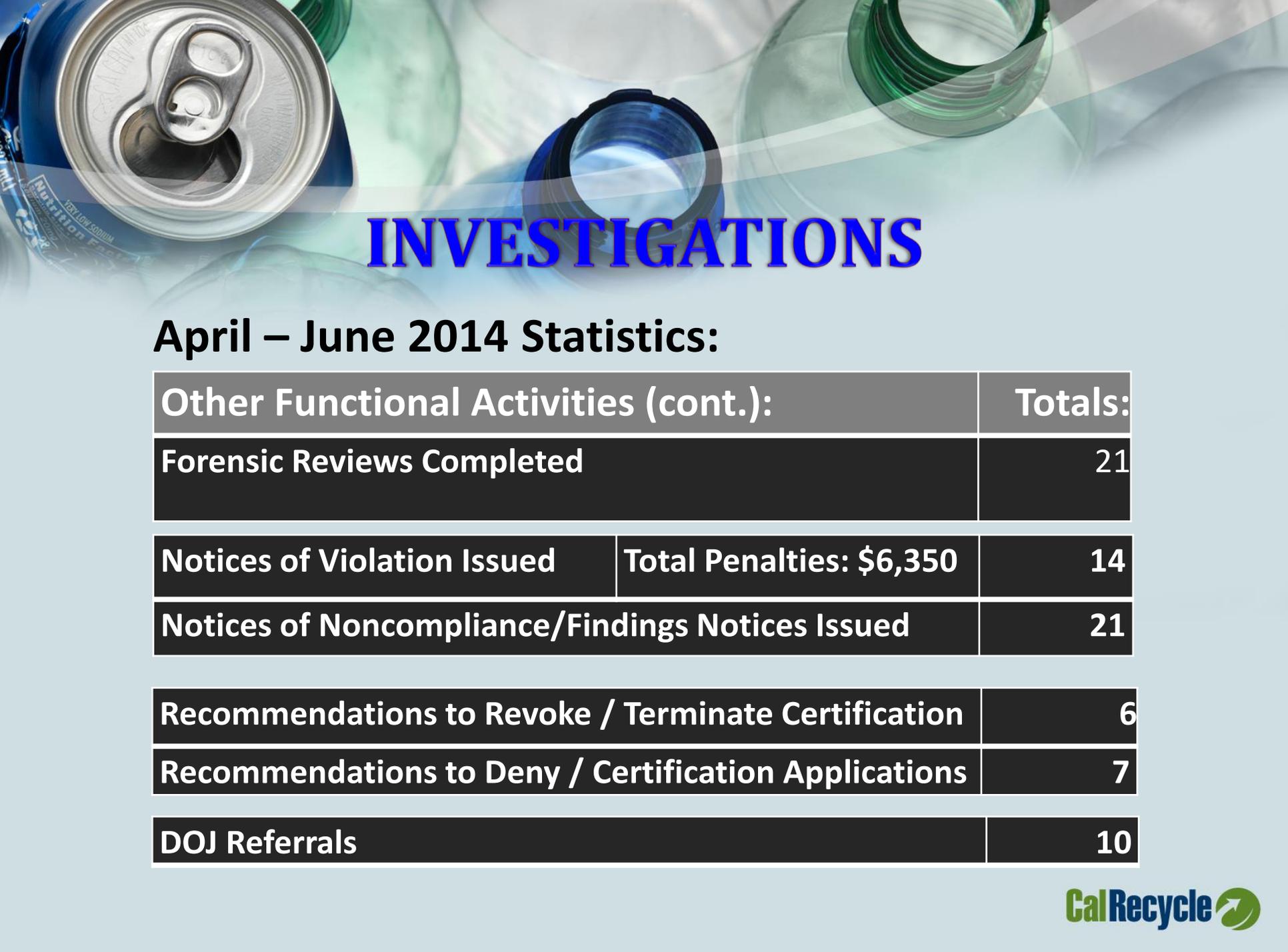
Investigation Type	Initiated	Closed
Investigations	64	68
Fraud Detection Reviews	12	51
Claimed Volume Report	36	34
Probationary Reviews	N/A	N/A
TOTALS:	112	153



INVESTIGATIONS

April – June 2014 Statistics:

Other Functional Activities:		Totals:
Call Center Cases: Potential Fraud	Initiated: 35	Closed: 37
Prepayment Load Inspections & Claims Review		
Inspected		575
Denied		17
Reduced		11
Participants Placed on Prepayment Control Status		8



INVESTIGATIONS

April – June 2014 Statistics:

Other Functional Activities (cont.):		Totals:
Forensic Reviews Completed		21
Notices of Violation Issued	Total Penalties: \$6,350	14
Notices of Noncompliance/Findings Notices Issued		21
Recommendations to Revoke / Terminate Certification		6
Recommendations to Deny / Certification Applications		7
DOJ Referrals		10



ACCUSATIONS

Unresolved accusations as of
September 30, 2014 ~ filed before June 2014:

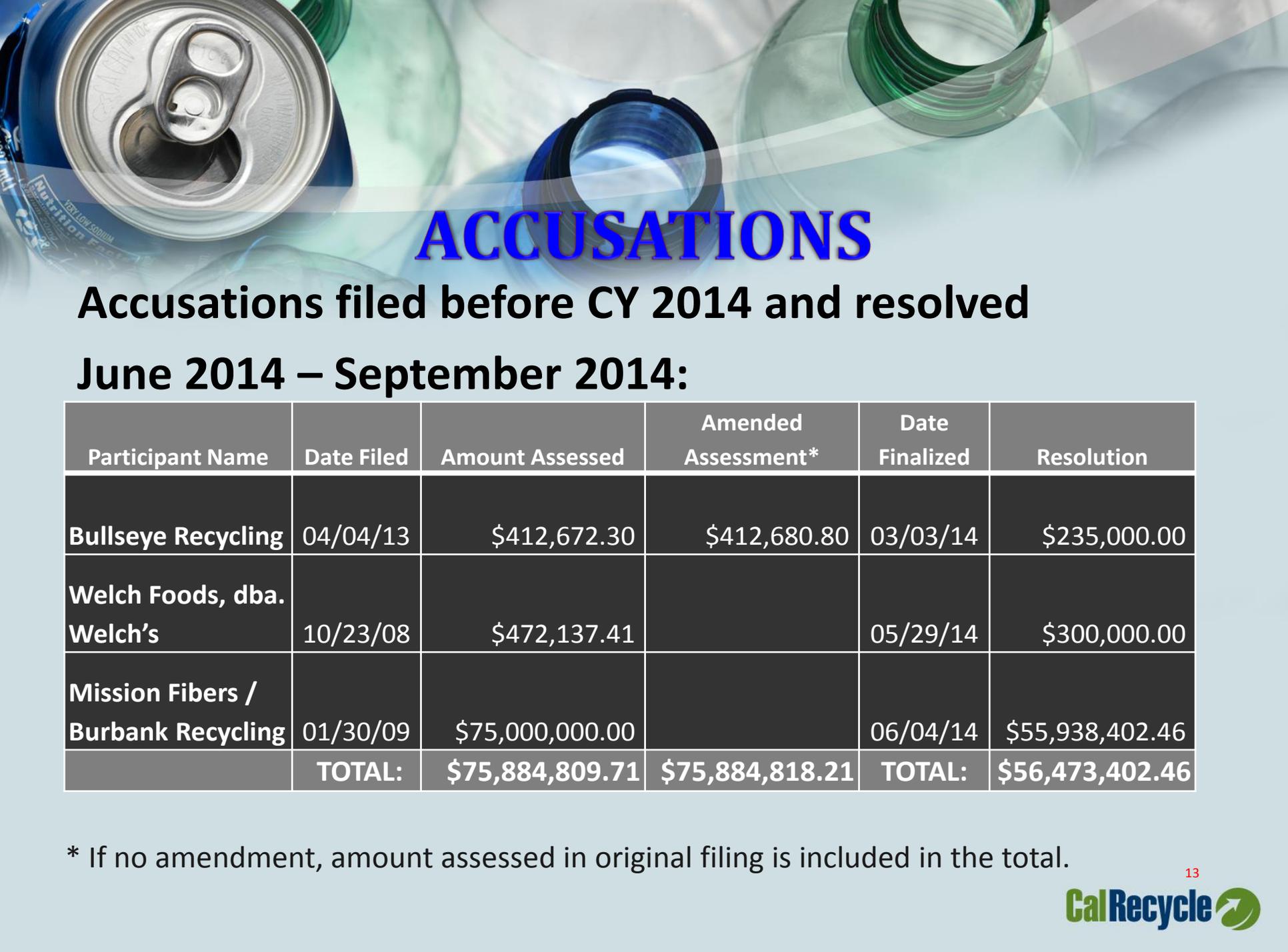
PARTICIPANT NAME	DATE FILED	AMOUNT ASSESSED
Villanueva Recycling	04/30/10	\$ 149,434.94
Daws Recycling	01/10/13	\$ 82,487.73
J & S Recycling	02/07/13	\$ 206,258.61
USA Recycling	04/04 & 04/24/13	\$ 790,786.56
Alco Iron & Metal	07/19 & 09/19/13	\$ 7,422,339.97
Capital City Recycling	01/31/14	\$ 311,446.99
		\$ 8,962,754.80



ACCUSATIONS

Accusations filed June 2014 – September 2014:

PARTICIPANT NAME	DATE FILED	AMOUNT ASSESSED
Eagle Recycling	07/29/14	\$ 2,749,279.41
		\$ 2,749,279.41



ACCUSATIONS

**Accusations filed before CY 2014 and resolved
June 2014 – September 2014:**

Participant Name	Date Filed	Amount Assessed	Amended Assessment*	Date Finalized	Resolution
Bullseye Recycling	04/04/13	\$412,672.30	\$412,680.80	03/03/14	\$235,000.00
Welch Foods, dba. Welch's	10/23/08	\$472,137.41		05/29/14	\$300,000.00
Mission Fibers / Burbank Recycling	01/30/09	\$75,000,000.00		06/04/14	\$55,938,402.46
	TOTAL:	\$75,884,809.71	\$75,884,818.21	TOTAL:	\$56,473,402.46

* If no amendment, amount assessed in original filing is included in the total.



ACCUSATIONS

**Accusations filed before CY 2014 and resolved
June 2014 – September 2014:**

Participant Name	Date Filed	Amount Assessed	Amended Assessment*	Date Finalized	Resolution
JL Recycling	07/08/13	\$ 34,368.64		03/03/14	dismissed
	TOTAL:	\$ 34,368.64		TOTAL:	\$ 0.00

* If no amendment, amount assessed in original filing is included in the total.



DOJ INVESTIGATIONS

➤ April – June 2014 Statistics:

	Statistics		
<u>Team</u>	Open Investigations	Closed Investigations	Arrests
Northern Recycling Fraud Team	0	1	1
Southern Recycling Fraud Team	2	2	2
<u>Total</u>	2	3	3