



**Recycling Program
Enforcement Branch –
Annual Statistics**

April 19, 2016



PROBATIONARY REVIEWS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|--------------------------------------|---------|---------|----------|
| DORiis Auto-Generated Cases | 1,092 | 850 | (22%) |
| Recycling Centers Visited: | 227 | 252 | 11% |
| • Passed | 67 | 51 | (24%) |
| • Extended | 151 | 191 | 26% |
| • Recommendation for Revocation | 3 | 2 | (33%) |
| • Decertified during/result of visit | 6 | 8 | 33% |



PROBATIONARY REVIEWS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|---------------------------------|---------|---------|----------|
| Recycling Centers Not Visited: | 865 | 598 | (31%) |
| • Passed | 316 | 133 | (58%) |
| • Extended | 430 | 401 | (7%) |
| • Recommendation for Revocation | 2 | 2 | 0% |
| • Not RCs | 63 | 40 | (37%) |
| • Decertified / Abandoned | 24 | 15 | (38%) |
| • Ongoing Investigations | 30 | 7 | (77%) |



PROBATIONARY REVIEWS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|-------------------|-----------|-----------|----------|
| Total Assessments | \$883,180 | \$110,630 | (88%) |
| Restitution | \$840,530 | \$63,580 | (93%) |
| Civil Penalties | \$42,650 | \$47,050 | 10% |



HANDLING FEE REVIEWS

| STATISTICS | CY 2015 |
|------------------------------------|---------|
| Handling Fee Applications Reviewed | 74 |
| Handling Fee Applications Denied | 63 |
| Handling Fee Applications Released | 11 |

| | |
|------------------------|-----------|
| Handling Fees Claimed | \$692,397 |
| Handling Fees Denied | \$549,300 |
| Handling Fees Released | \$143,097 |



INSPECTIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|----------------------------------|-----------|-----------|----------|
| Recycling Center Inspections | 4,691 | 4,185 | (11%) |
| Notices of Non-Compliance Issued | 1,769 | 1,053 | (41%) |
| Notices of Violation Issued | 622 | 611 | (2%) |
| Civil Penalty Assessments | \$109,100 | \$136,650 | 25% |



INSPECTIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|----------------------------------|---------|---------|----------|
| Dealer Inspections | 255 | 128 | (50%) |
| Notices of Non-Compliance Issued | 82 | 31 | (62%) |
| Notices of Violation Issued | 31 | 23 | (26%) |
| Civil Penalty Assessments | \$4,800 | \$5,300 | 10% |
| Complaints Resolved | 353 | 45 | (87%) |



INVESTIGATIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|-----------------------------------|---------|---------|----------|
| Investigations Initiated | 140 | 74 | (47%) |
| Investigations Closed | 157 | 75 | (52%) |
| Fraud Detection Reviews Initiated | 148 | 17 | (89%) |
| Fraud Detection Reviews Closed | 129 | 45 | (65%) |



INVESTIGATIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|------------------------------------|---------|---------|----------|
| Claimed Volume Reports Initiated | 121 | 104 | (14%) |
| Claimed Volume Reports Closed | 70 | 117 | 67% |
| Recycler Load Inspection Initiated | 52 | 124 | 138% |
| Recycler Load Inspection Closed | 26 | 96 | 269% |



INVESTIGATIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|--|---------|---------|----------|
| Call Center Cases Initiated | 124 | 95 | (23%) |
| Call Center Cases Closed | 122 | 85 | (30%) |
| Load Inspection/Reviews Conducted: | 4,858 | 6,826 | 41% |
| • Denied | 99 | 50 | (49%) |
| • Reduced | 91 | 50 | (45%) |
| Participants Placed on Prepayment Control Status | 81 | 37 | (54%) |



INVESTIGATIONS

| STATISTICS | CY 2014 | CY 2015 | % Change |
|---|---------|---------|----------|
| Forensic Reviews Completed | 92 | 74 | (20%) |
| Notices of Noncompliance Issued | 230 | 170 | (26%) |
| Notices of Violation Issued | 61 | 72 | 18% |
| Recommendation to Revoke / Terminate Certification | 14 | 7 | (50%) |
| Recommendation to Deny Certification Applications | 16 | 12 | (25%) |
| DOJ Referrals | 41 | 27 | (34%) |



IMPORTED MATERIALS

| IMPORTED MATERIAL REPORT (IMR) STATISTICS | CY 2014 | CY 2015 | % Change |
|--|------------|------------|-------------|
| IMRs Received | 6,619 | 6,113 | (8%) |
| - Semi-Trucks | 61% | 74% | 21% |
| - Baled | 50% | 48% | (4%) |
| - Single-Stream | 28% | 49% | 75% |



IMPORTED MATERIALS

| IMR STATISTICS | CY 2014 | CY 2015 | % Change |
|----------------|------------|------------|-------------|
| Nevada | 32% | 33% | 3% |
| Washington | 18% | 1% | (95%) |
| Utah | 15% | 18% | 20% |
| Arizona | 15% | 25% | 67% |



DOJ INVESTIGATIONS

| January – December 2015 | Investigations Initiated | Investigations Closed | Arrests |
|-------------------------|--------------------------|-----------------------|----------|
| Northern Recycling Team | 17 | 16 | 2 |
| Southern Recycling Team | 8 | 11 | 7 |
| Total | 25 | 27 | 9 |



ACCUSATIONS

Accusations filed & served January – March 31, 2016:

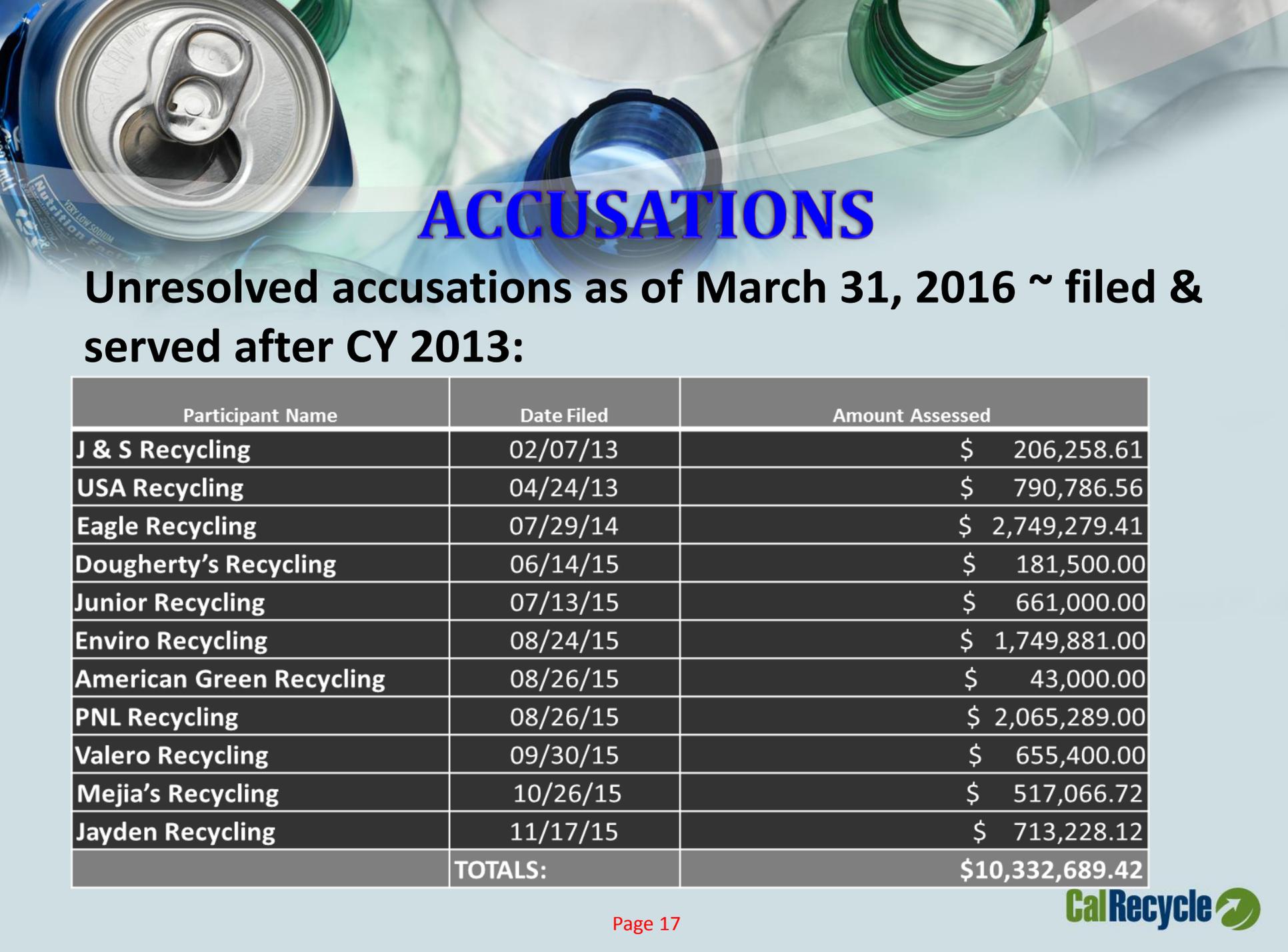
| Participant Name | Date Filed | Amount Assessed |
|-------------------------|---------------|-----------------------|
| USA Waste of California | 02/16/16 | \$3,953,330.26 |
| | TOTAL: | \$3,955,330.26 |



ACCUSATIONS

Accusations resolved as of March 31, 2016 ~ filed & served after CY 2013:

| Participant Name | Date Filed | Amount Assessed | Date Finalized | Resolution |
|--------------------------|---------------|-----------------|----------------|---------------------|
| C R & R, Inc. | 08/26/15 | \$200,704.00 | 01/21/16 | \$146,504.23 |
| | TOTAL: | | TOTAL: | \$146,504.23 |



ACCUSATIONS

Unresolved accusations as of March 31, 2016 ~ filed & served after CY 2013:

| Participant Name | Date Filed | Amount Assessed |
|--------------------------|----------------|------------------------|
| J & S Recycling | 02/07/13 | \$ 206,258.61 |
| USA Recycling | 04/24/13 | \$ 790,786.56 |
| Eagle Recycling | 07/29/14 | \$ 2,749,279.41 |
| Dougherty's Recycling | 06/14/15 | \$ 181,500.00 |
| Junior Recycling | 07/13/15 | \$ 661,000.00 |
| Enviro Recycling | 08/24/15 | \$ 1,749,881.00 |
| American Green Recycling | 08/26/15 | \$ 43,000.00 |
| PNL Recycling | 08/26/15 | \$ 2,065,289.00 |
| Valero Recycling | 09/30/15 | \$ 655,400.00 |
| Mejia's Recycling | 10/26/15 | \$ 517,066.72 |
| Jayden Recycling | 11/17/15 | \$ 713,228.12 |
| | TOTALS: | \$10,332,689.42 |



ACCUSATIONS

Unresolved accusations as of March 31, 2016 ~ filed & served before CY 2013:

| Participant Name | Date Filed | Amount Assessed |
|--------------------------|---------------|----------------------|
| Piccadilly Imports, Inc. | 12/22/08 | \$ 10,990.87 |
| Villanueva Recycling | 04/30/10 | \$ 149,434.94 |
| | TOTAL: | \$ 160,425.81 |